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ATTORNEY DOCKET NO. 04137.0003U3  
APPLICATION NO. 10/652,622

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
NI <i>et al.</i>	)	Confirmation No: 1025
	)	
Serial No.: 10/652,622	)	Group Art: 1635
	)	
Filed: August 29, 2003	)	Examiner: Unassigned
	)	
For: "DELIVERY OF PHYSIOLOGICAL AGENTS	)	
WITH <i>IN-SITU</i> GELS COMPRISING ANIONIC	)	
POLYSACCHARIDES"	)	

**STATUS INQUIRY IN VIEW OF MISSING PARTS**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

NEEDLE & ROSENBERG, P.C.  
Customer Number 23859

June 10, 2004

Sirs:

The captioned application was filed without inclusion of payment of fees or inventor's Oaths/Declarations on August 29, 2003, and Applicants have received their date-stamped return receipt postcard (copy attached) showing the serial number of the application and receipt of the application and associated filing papers. Applicants have not however received the expected Notice of Missing Parts, and it therefore appears the processing of the application by the Commissioner may be experiencing problems. Applicants respectfully request that the Office inquire into the status of this application and address any processing problems, so that a Notice of

ATTORNEY DOCKET NO. 04137.0003U3  
APPLICATION NO. 10/652,622

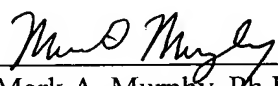
Missing Parts is issued, and publication of the application can proceed.

An Information Disclosure Statement, Form PTO-1449, and copies of needed references are also attached herewith.

When a proper Notice of Missing Parts or other document clarifying the status of the processing of the application is received, Applicants will soon thereafter submit appropriate payments for the application filing fees, and any other required fees.

Respectfully submitted,

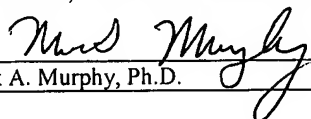
NEEDLE & ROSENBERG, P.C.

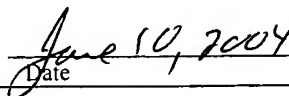
  
Mark A. Murphy, Ph.D.  
Registration No. 42,915

NEEDLE & ROSENBERG, P.C.  
Customer Number 23859  
(678) 420-9300 Phone  
(678) 420-9301 Fax

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including any items indicated as attached or included, is being deposited with the United States Postal Service in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

  
Mark A. Murphy, Ph.D.

  
Date



ATTORNEY DOCKET NO. 04137.0003U3  
APPLICATION NO. 10/652,622

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
NI <i>et al.</i>	)	Confirmation No: 1025
	)	
Serial No.: 10/652,622	)	Group Art: 1635
	)	
Filed: August 29, 2003	)	Examiner: Unassigned
	)	
For: "DELIVERY OF PHYSIOLOGICAL AGENTS	)	
WITH <i>IN-SITU</i> GELS COMPRISING ANIONIC	)	
POLYSACCHARIDES"	)	

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

NEEDLE & ROSENBERG, P.C.  
Customer Number 23859

June 10, 2004

Sir:

Pursuant to the requirements of 37 C.F.R. § 1.56, submitted herewith on the accompanying Form PTO 1449 is a listing of documents known to Applicants and/or their attorneys. Some of the documents cited were cited by or submitted to the Patent Office in Application No. 09/795,987, filed February 28, 2001, to which the present application claims priority. These documents are indicated by a double asterisk (\*\*) on the Form PTO 1449. Pursuant to 37 C.F.R. § 1.98(d), copies of these documents are not enclosed. A copy of each of the remaining documents is enclosed.

**ATTORNEY DOCKET NO. 04137.0003U3**  
**APPLICATION NO. 10/652,622**

In accordance with the provisions of M.P.E.P. § 2001.06(b) and 37 C.F.R. § 1.98(b)(3), Applicants would like to bring to the attention of the Examiner the existence of the co-pending patent application(s) identified below, which were filed in the United States Patent and Trademark Office:

	<u>Application No.</u>	<u>Date Filed</u>	<u>Inventors</u>	<u>Attorney Docket No.</u>
1.	09/795,897	02/28/2001	Ni <i>et al.</i>	04137.0003U1
2.	09/325,610	06/03/1999	Ni <i>et al.</i>	04137.0002U2
3.	10/422,867	04/23/2003	Ni <i>et al.</i>	04137.0002U3
4.	60/573,790	05/24/2004	Ni <i>et al.</i>	04137.0004U1

In accordance with the requirements of 37 C.F.R. § 1.98(a)(2)(iii), a copy of the above-referenced application specification(s), including the claims and drawings thereof, is enclosed.

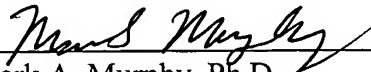
Consideration of the cited documents and making the same of record in the prosecution of the above-referenced application are respectfully requested.

ATTORNEY DOCKET NO. 04137.0003U3  
APPLICATION NO. 10/652,622

No fee is believed due; however, the Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 14-0629.

Respectfully submitted,

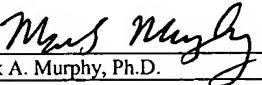
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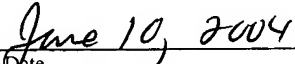
  
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Mark A. Murphy, Ph.D.

  
Date



Substitute for Form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 9

Complete if Known

Application Number	10/652,622
Filing Date	August 29, 2003
First Name Inventor	NI <i>et al.</i>
Art Unit	1635
Examiner Name	Unassigned
Attorney Docket Number	04137.0003U3

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document No.		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
	A1	**	US-3,982,003	09/21/1976	Mitchell <i>et al.</i>	
	A2	**	US-4,199,560	04/22/1980	Gyarmati <i>et al.</i>	
	A3	**	US-4,305,933	12/15/1981	Wiczer	
	A4	**	US-4,500,510	02/19/1985	Goldstein	
	A5	**	US-4,613,500	09/23/1986	Suzuki <i>et al.</i>	
	A6	**	US-4,652,441	03/24/1987	Okada et al.	
	A7	**	US-4,711,782	12/08/1987	Okada et al.	
	A8	**	US-4,725,438	02/16/1988	Leazer	
	A9	**	US-4,847,091	07/11/1989	Illum	
	A10	**	US-4,842,866	06/27/1989	Horder <i>et al.</i>	
	A11	**	US-4,917,890	04/17/1990	McAnalley	
	A12	**	US-4,917,893	04/17/1990	Okada et al.	
	A13	**	US-4,925,677	05/15/1990	Feijen	
	A14	**	US-4,981,875	01/01/1991	Leusner <i>et al.</i>	
	A15	**	US-5,059,189	10/22/1991	Cilento <i>et al.</i>	
	A16	**	US-5,061,492	10/29/1991	Okada <i>et al.</i>	
	A17	**	US-5,064,650	11/12/1991	Lew	
	A18	**	US-5,071,644	12/10/1991	Viegas <i>et al.</i>	
	A19	**	US-5,079,018	01/07/1992	Ecanow	
	A20	**	US-5,147,648	09/15/1992	Bannert	
	A21	**	US-5,188,825	02/23/1993	Iles <i>et al.</i>	
	A22	**	US-5,192,802	03/09/1993	Rencher	
	A23	**	US-5,204,108	04/20/1993	Illum	
	A24	**	US-5,208,031	05/04/1993	Kelly	
	A25	**	US-5,238,917	08/24/1993	Fujii <i>et al.</i>	
	A26	**	US-5,266,318	11/30/1993	Taylor-McCord	
	A27	**	US-5,284,659	02/08/1994	Cherukuri et al	
	A28	**	US-5,288,498	02/22/1994	Stanley <i>et al.</i>	
	A29	**	US-5,288,500	02/22/1994	Ibsen	
	A30	**	US-5,314,915	05/24/1994	Rencher	
	A31	**	US-5,318,780	06/07/1994	Viegas <i>et al.</i>	
	A32	**	US-5,362,424	11/08/1994	Lee et al.	

\*\*Documents indicated with \*\* have been previously submitted in a parent application.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant to place a check mark here if English language Translation is attached.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 9

**Complete if Known**

Application Number	10/652,622
Filing Date	August 29, 2003
First Name Inventor	NI <i>et al.</i>
Art Unit	1635
Examiner Name	Unassigned
Attorney Docket Number	04137.0003U3

**U.S. PATENT DOCUMENTS**

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		Number-Kind Code <sup>2</sup> (if known)				
	A33	**	US-5,409,703	04/25/1995	McAnalley <i>et al.</i>	
	A34	**	US-5,435,997	07/25/1995	Burns	
	A35	**	US-5,503,822	04/02/1996	Schulman	
	A36	**	US-5,505,966	04/09/1996	Edman <i>et al.</i>	
	A37	**	US-5,508,043	04/16/1996	Krishnamurthy	
	A38	**	US-5,512,306	04/30/1996	Carlsson <i>et al.</i>	
	A39	**	US-5,525,634	06/11/1996	Sintov <i>et al.</i>	
	A40	**	US-5,545,673	08/13/1996	Kelly	
	A41	**	US-5,571,531	11/05/1996	McDermott <i>et al.</i>	
	A42	**	US-5,578,307	11/26/1996	Wunderlich <i>et al.</i>	
	A43	**	US-5,587,175	12/24/1996	Viegas <i>et al.</i>	
	A44	**	US-5,599,551	02/04/1997	Kelly	
	A45	**	US-5,612,053	04/14/1998	Baichwal <i>et al.</i>	
	A46	**	US-5,622,717	04/22/1997	Fuisz	
	A47	**	US-5,639,795	06/17/1997	Friedman <i>et al.</i>	
	A48	**	US-5,645,827	07/08/1997	Marlin <i>et al.</i>	
	A49	**	US-5,648,399	07/15/1997	Friedman <i>et al.</i>	
	A50	**	US-5,651,987	07/29/1997	Fuisz	
	A51	**	US-5,674,495	10/07/1997	Bowersock <i>et al.</i>	
	A52	**	US-5,707,644	01/13/1998	Illum	
	A53	**	US-5,738,865	04/14/1998	Baichwal <i>et al.</i>	
	A54	**	US-5,760,102	06/02/1998	Hall et al.	
	A55	**	US-5,770,582	06/23/1998	von Borstel <i>et al.</i>	
	A56	**	US-5,804,212	09/08/1998	Illum	
	A57	**	US-5,811,123	09/22/1998	Fuisz	
	A58	**	US-5,840,332	11/24/1998	Lerner <i>et al.</i>	
	A59	**	US-5,849,327	12/15/1998	Berliner <i>et al.</i>	
	A60	**	US-5,866,619	02/02/1999	Sintov <i>et al.</i>	
	A61	**	US-5,900,238	05/04/1999	Gombotz et al.	
	A62	**	US-5,902,796	05/11/1999	Shand <i>et al.</i>	
	A63	**	US-5,929,051	07/27/1999	Ni <i>et al.</i>	
	A64	**	US-5,935,604	08/10/1999	Illum	

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(Use as many sheets as necessary)

Sheet

3

of

9

**Complete if Known**

Application Number	10/652,622
Filing Date	August 29, 2003
First Name Inventor	NI <i>et al.</i>
Art Unit	1635
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Attorney Docket Number	04137.0003U3

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		Number-Kind Code <sup>2</sup> (if known)				
	A65	**	US-5,942,242	08/24/1999	Mizushima <i>et al.</i>	
	A66	**	US-5,948,749	09/07/1999	Igarashi <i>et al.</i>	
	A67	**	US-5,958,443	09/28/1999	Viegas <i>et al.</i>	
	A68	**	US-6,033,651	03/07/2000	Dolak <i>et al.</i>	
	A69	**	US-6,060,078	05/09/2000	Lee	
	A70	**	US-6,063,915	05/16/2000	Hansen <i>et al.</i>	
	A71	**	US-6,083,531	07/04/2000	Humbert-Droz <i>et al.</i>	
	A72	**	US-6,083,540	07/04/2000	Christensen <i>et al.</i>	
	A73	**	US-6,103,269	08/15/2000	Wunderlich <i>et al.</i>	
	A74	**	US-6,133,440	10/17/2000	Qiu <i>et al.</i>	
	A75	**	US-6,136,334	10/24/2000	Viegas <i>et al.</i>	
	A76	**	US-6,139,880	10/31/2000	Dolak <i>et al.</i>	
	A77	**	US-6,149,940	11/21/2000	Maggi <i>et al.</i>	
	A78	**	US-6,159,491	12/12/2000	Durrani	
	A79	**	US-6,171,594	01/09/2001	Nielsen	
	A80	**	US-6,174,549	01/16/2001	Greenshields <i>et al.</i>	
	A81	**	US-6,197,327	03/06/2001	Harrison <i>et al.</i>	
	A82	**	US-6,197,346	03/06/2001	Mathiowitz <i>et al.</i>	
	A83	**	US-6,210,710	04/03/2001	Skinner	
	A84	**	US-6,217,908	04/17/2001	Mathiowitz <i>et al.</i>	
	A85	**	US-6,228,387	05/08/2001	Borod	
	A86	**	US-6,228,396	05/08/2001	Watts	
	A87	**	US-6,231,888	05/15/2001	Lerner <i>et al.</i>	
	A88	**	US-6,248,360	06/19/2001	Choi <i>et al.</i>	
	A89	**	US-6,261,574	07/17/2001	Costello	
	A90	**	US-6,274,548	08/14/2001	Ni <i>et al.</i>	
	A91	**	US-6,284,273	09/04/2001	Lenaerts <i>et al.</i>	
	A92	**	US-6,290,964	09/18/2001	Shupe <i>et al.</i>	
	A93	**	US-6,309,675	10/30/2001	Sobczak	
	A94	**	US-6,310,089	10/30/2001	Watts et al.	
	A95	**	US-6,313,103	11/06/2001	Ni <i>et al.</i>	
	A96	**	US-6,333,194	12/25/2001	Levy et al.	

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Substitute for Form 1449/PTO  <h2 style="text-align: center; margin: 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center; margin: 0;">(Use as many sheets as necessary)</p>				<b>Complete if Known</b> Application Number: 10/652,622 Filing Date: August 29, 2003 First Name Inventor: NI <i>et al.</i> Art Unit: 1635 Examiner Name: Unassigned Attorney Docket Number: 04137.0003U3	
Sheet	4	of	9		

U.S. PATENT DOCUMENTS						
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		Number-Kind Code <sup>2</sup> (if known)				
	A97	**	US-6,342,251	01/29/2000	Illum <i>et al.</i>	
	A98	**	US-6,350,469	02/26/2002	Daggy <i>et al.</i>	
	A99	**	US-6,355,276	03/12/2002	Illum <i>et al.</i>	
	A100	**	US-6,358,525	03/19/2002	Guo <i>et al.</i>	
	A101	**	US-6,365,200	04/02/2002	Birnholz <i>et al.</i>	
	A102	**	US-6,365,624	04/02/2002	Davidson <i>et al.</i>	
	A103	**	US-6,368,639	04/09/2002	Farooqui <i>et al.</i>	
	A104	**	US-6,375,963	04/23/2002	Repka <i>et al.</i>	
	A105	**	US-6,375,988	04/23/2002	Suzuki <i>et al.</i>	
	A106	**	US-6,383,495	05/07/2002	Ramakrishna <i>et al.</i>	
	A107	**	US-6,383,513	05/07/2002	Watts <i>et al.</i>	
	A108	**	US-6,387,394	05/14/2002	Baichwal <i>et al.</i>	
	A109	**	US-6,387,408	05/14/2002	Illum <i>et al.</i>	
	A110	**	US-6,387,917	05/14/2002	Illum <i>et al.</i>	
	A111	**	US-6,391,318	05/21/2002	Illum <i>et al.</i>	
	A112	**	US-6,413,494	07/02/2002	Lee <i>et al.</i>	
	A113	**	US-6,413,941	07/02/2002	Garnett <i>et al.</i>	
	A114	**	US-6,416,779	07/09/2002	D'Augustine <i>et al.</i>	
	A115	**	US-6,423,345	07/23/2002	Bernstein <i>et al.</i>	
	A116	**	US-6,432,440	08/13/2002	Watts <i>et al.</i>	
	A117	**	US-6,436,461	08/20/2002	Bouwmeesters <i>et al.</i>	
	A118	**	US-6,451,351	09/17/2002	Kawashima <i>et al.</i>	
	A119	**	US-6,455,066	09/24/2002	Fischer <i>et al.</i>	
	A120	**	US-6,465,626	10/15/2002	Watts <i>et al.</i>	
	A121	**	US-6,475,526	11/05/2002	Smith	
	A122	**	US-6,517,868	02/11/2003	Fassihi <i>et al.</i>	
	A123	**	US-6,531,152	03/11/2003	Lerner <i>et al.</i>	
	A124	**	US-6,534,065	03/18/2003	Makin <i>et al.</i>	
	A125	**	US-6,541,035	04/01/2003	Pallado <i>et al.</i>	
	A126	**	US-6,551,631	04/22/2003	Shupe <i>et al.</i>	
	A127	**	US-6,552,024	04/22/2003	Chen <i>et al.</i>	
	A128	**	US-6,558,792	05/06/2003	Vaabengaard <i>et al.</i>	

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				Application Number	10/652,622
				Filing Date	August 29, 2003
				First Name Inventor	NI <i>et al.</i>
				Art Unit	1635
				Examiner Name	Unassigned
Sheet	5	of	9	Attorney Docket Number	04137.0003U3

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		Number-Kind Code <sup>2</sup> (if known)				
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	A138	**	WO 99/27905	06/10/1999	Illum <i>et al.</i> (PCT)		
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Sheet

6

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    ☒ Claims (14 pages; 112 claims)  
☒ Authorization to Treat Reply Requiring Extension of Time (2 pages)  
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NEEDLE & ROSENBERG

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08/29/03

In RE to Application of: NI *et al.*

Title "DELIVERY OF PHYSIOLOGICAL AGENTS WITH IN-SITU GELS COMPRISING ANIONIC POLYSACCHARIDES"

Serial No. Unassigned

Filed: August 29, 2003

184680

Reference No.: 04137.0003U3

Confirmation No.: Unassigned  
(MAM/rcs)

WAK/MAM

DOCKETED	
By: <u>WAK</u>	Date: <u>09/11/03</u>
Reviewed: <u>MAM 9/16/03</u>	Name/Date